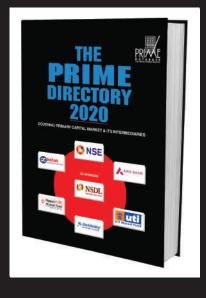


Updated invaluable information on the primary capital market and its intermediaries

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THE PRIME DIRECTORY 2020

Prithvi Haldea Pranav Haldea

Editors & Publishers

AN IMPROVED, MORE COMPREHENSIVE EDITION

THE PRIME DIRECTORY-2020

- lists 25,694 intermediairies/ organisations
- has updated addresses, phone/ fax numbers and e-mail addresses of all intermediaries
- has updated information on key executives
- has updated rankings of all intermediaries upto 2019-20
- provides an overview of primary capital market (public, rights, QIPs and overseas issues)

• 1,600 pages

- 25,694 organisations covered
- 29,829 key executives listed
- 50 sections





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25,694 organisations covered THE PRIME DIRECTORY-1994, the first edition, was an original compilation.... bringing into one single document, critical information about all intermediaries associated with the primary capital market. Until then, knowing who the intermediaries were, what they did, what their performance was, how to reach them... was a complicated process. The benefits of having all this information on fingertips was the objective of THE PRIME DIRECTORY-1994.

An updated edition, THE PRIME DIRECTORY-1995, was formally released by Shri D.R.Mehta, Chairman, Securities & Exchange Board of India. The significantly updated 1996 edition was formally released by Shri J.Capoor, Chairman, Unit Trust of India. The subsequent annual editions provided new formats, new sections and updated information on the market and its intermediaries.

THE PRIME DIRECTORY-2020

The new edition presents significant updation of information over the last edition. It also identifies the intermediaries continuing in business, as also those who have gone out of business. The new Directory:

- lists 25,694 organisations
- includes all new intermediaries who have obtained SEBI registration
- has updated SEBI registration status for all intermediaries
- has updated addresses, phone/ fax numbers and e-mail addresses
- has updated information on key executives
- has updated ranking of each intermediary upto 2019-20

An overview of the Primary Capital Market for 2019-20 is also provided.

A COMPREHENSIVE ADDRESS DIRECTORY

This new edition runs into 1,600 pages, covers 25,694 intermediaries/ organisations with their 26,456 addresses, listed alphabetically in 50 sections. Included in each listing, wherever applicable, are:

- addresses (both head office and branch offices)
- phone and fax numbers and e-mail and website addresses
- names and designations of key executives
- SEBI registration status and/or date of registration

ALSO A PERFORMANCE DIRECTORY

Importantly, **THE PRIME DIRECTORY-2020** is not just an address directory. It also provides, for each intermediary, easy-to-read performance data, rankings and details of assignments handled over the past 5 years, thereby enabling the reader to evaluate the standing of each intermediary in the marketplace. Moreover, overview tables of rankings for 4 years have been provided at the end of each section.

IN ONE DIRECTORY...
Information that is near-impossible to obtain...or would require years of research in scores of scattered sources

This is not a
Shelf Directory...
it is a Table Directory to be
used every single day.

THE PRIME DIRECTORY IS FOR

CAPITAL MARKET PROFESSIONALS:

- to identify and select the right co-intermediaries
- to use as a reference and mailing list source to promote new business
- to easily locate companies and key executives
- to refer to key data on the primary capital market
- to locate service sources
 - to locate qualified talent... or to find jobs in this vast field

COMPANIES ENTERING THE CAPITAL MARKET:

- to identify the right intermediaries
- to develop a better understanding of the primary market

<u>JOURNALISTS:</u>

- for instant access to data on the primary market
- for looking at an intermediary's track record whenever doing a report on him
- for easy access to people through names and phone/ fax numbers listed in the Directory

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 organisations who wish to market their products/ services to the financial sector/ primary market professionals

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to understand the Indian primary market

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ABOUT THE AUTHORS

Prithvi Haldea, an M.B.A. (1971) from BITS Pilani, is the Founder-Chairman of PRIME Database Group, India's premier database on the capital market since 1989. The Group's flagship product is www.primedatabase.com which provides comprehensive information on all types of capital issuances as well as on takeover open offers, delisting offers and buybacks. PRIME has a large body of subscribers and is widely reported by the media.

Haldea is presently a Member, among others, of SEBI's Primary Market Advisory Committee and Market Data Advisory Committee. He is also a member of Advisory Committee on Individual Insolvency and Bankruptcy of IBBI. Additionally, he is an Advisor to the Association of Investment Bankers of India, Gaja Capital, BGJC & Associates, and Association of Independent Directors of India. In a recent effort, Mr. Haldea rewrote, gratis for SEBI, the entire ICDR Regulations, in an orderly manner, and in simple English.

In the past, Haldea has served as a member, among others, of Board of Central Listing Authority (SEBI), Trustee of NPS Trust-PFRDA, GOI Task Force on Financial Redress Agency, GOI Council of Experts for Financial Sector, FSDC Committee on Commission/Incentive Structure of the Distributors of Financial Products, Central Government Nominee on the Governing Councils of ICAI and ICSI, High-Level Committee of Finance Ministry on Corporate Bonds, Member of Board of Governors of Indian Institute of Corporate Affairs, Investment Board of Postal Life Insurance, SEBI's Committees on Review of MAPIN, Secondary Market Advisory Committee and Committee on Reviewing Disclosures and Application Forms in Public Issues, Quality Review Board (ICAI), and Committees of MCA on Formulation and Revision of Companies Act. He was also a member of Listing and Delisting Committees of BSE, Listing Committee of NSE and Delisting Committee of DSE. He served as Chairman of ASSOCHAM's and PHDCCI Capital Markets Committees, and as board member of UTI Mutual Fund and MCX as an independent director.

Haldea has addressed hundreds of conferences. As an investor protection activist, Haldea regularly raises critical issues and in pursuit of this objective, he has also launched two unique websites: www.watchoutinvestors.com: aggregating information on economic defaulters which now lists over 6 lakh cases and www.primedirectors.com: a databank now hosting profiles of over 20,000 professionals for listed companies to select independent directors. He had also created www.iepf.gov.in: an investor education initiative in 13 languages.

Between 1971 and 1988, Haldea worked at senior positions in the corporate sector and also as a consultant to The World Bank and the U.S. Department of Commerce.

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Pranav Haldea, an M.B.A. from the Indian Institute of Management Calcutta (2004), is the Managing Director of PRIME Database Group (www.primedatabasegroup.com), India's premier database on the capital market.

At PRIME, besides managing the existing activities relating to the primary capital market, Pranav has driven the Group's foray into several new and diverse services: primeinfobase.comdatabases on listed companies covering data on Insider Trading, Share Pledging, transactions under Takeover Code, Shareholding, Board of Directors, AGM/EGM/Postal Ballot Resolutions, Board Meeting Resolutions, Investor Meets, Auditors, Annual Reports, CSR, Corporate Actions Key Managerial Personnel, Company Contact Details, Financial Results, All-in-1 Shareholders & Corporate Event Calendar, Investors Websites for listed and unlisted corporates, PRIME Mutual Funds Database (primemfdatabase.com), PRIME Bulk & Block Deals Database (primebbdatabase.com), PRIME Credit Rating Migrations Database (primecrmdatabase.com), PRIME Corporate Bonds Database (primecbdatabase.com), PRIME MCA Database (primemcadatabase.com), The PRIME Private Equity & Venture Capital Directory (primepevcdatabase.com), msmementor.in, a national skills registry of professionals for the benefit of MSMEs, bsepsu.com, a website dedicated to disinvestments, dipam.gov.in, a new website for the Department of Disinvestment, Ministry of Finance and aibi.org.in- the website for Association of Investment Bankers of India.

He has also conceived and executed several projects with the National Stock Exchange, Bombay Stock Exchange and the Investor Education and Protection Fund, Ministry of Corporate Affairs. Pranav has addressed several conferences and is often quoted in the media.

Prior to PRIME, Pranav worked with HSBC in India and then with Deutsche Bank in Singapore where he was responsible for sales coverage of financial institutions in the Global Markets division.

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